

## Early Ultra-Violet observations of type II<sub>n</sub> supernovae constrain the asphericity of their circumstellar material

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### ABSTRACT

We present a survey of the early evolution of Type II<sub>n</sub> supernovae (SNe II<sub>n</sub>) in the Ultra-Violet (UV) and visible light and show that at least one third of them appear to explode in aspherical circumstellar clouds. Our sample consists of 12 SNe II<sub>n</sub> discovered and observed with the Zwicky Transient Facility (ZTF) and followed-up in the UV with the Neil Gehrels Swift Observatory. We use these observations to constrain the geometry of the circumstellar material (CSM) surrounding SN II<sub>n</sub> explosions, which may shed light on their progenitor diversity. Indeed, while observations of SNe II<sub>n</sub> are usually analyzed within the framework of spherically symmetric models of CSM, resolved images of stars undergoing considerable mass loss suggest that asphericity is common, and should be taken into account for realistic modeling of these events. We apply the criterion for asphericity introduced by Soumagnac et al. (2019b), stating that a fast increase of the blackbody effective radius, if observed at times when the CSM surrounding the explosion is still optically thick, may be interpreted as an indication that the CSM is aspherical. We find that two thirds of the SNe in our sample show evidence for aspherical CSM, whereas one third do not show evidence for either spherical or aspherical CSM. After correcting for the relative volume of these two sub-classes, we derive a conservative lower limit of 35% on the fraction of SNe II<sub>n</sub> showing evidence for aspherical CSM.

*Keywords:* keywords

### 1. INTRODUCTION

Type II<sub>n</sub> supernovae (SNe II<sub>n</sub>) show prominent and narrow-to-intermediate width Balmer emission lines in

their spectra (Schlegel 1990; Filippenko 1997; Smith 2014; Gal-Yam 2017). This specificity is thought to be the signature of photoionized and dense, hydrogen-rich, circumstellar medium (CSM) which is ejected from the SN progenitor prior to its explosive death. Because these narrow lines are the signature of an external physical phenomenon rather than of any intrinsic property of the explosion, they may appear in the spectra of many SNe,

at some point during their evolution. As a result, the Type II<sub>n</sub> class of SNe is a heterogeneous category of objects. Depending on the spatial distribution and physical properties of the CSM surrounding the explosion, the characteristic narrow Balmer lines may persist for days (“flash spectroscopy”, Gal-Yam et al. 2014; Khazov et al. 2016; Yaron et al. 2017), weeks (e.g., SN 1998S, Li et al. 1998; Fassia et al. 2000, 2001; SN 2005gl, Gal-Yam et al. 2007; SN 2010mc, Ofek et al. 2013a), or years (e.g., SN 1988Z, Danziger & Kjaer 1991; Stathakis & Sadler 1991; Turatto et al. 1993; van Dyk et al. 1993; Chugai & Danziger 1994; Fabian & Terlevich 1996; Aretxaga et al. 1999; Williams et al. 2002; Schlegel & Petre 2006; Smith et al. 2017; SN 010jl, Patat et al. 2011; Stoll et al. 2011; Gall et al. 2014; Ofek et al. 2014).

Observing SNe II<sub>n</sub> at ultraviolet (UV) wavelengths is interesting for several reasons. First, an important ingredient of the physical picture governing SNe II<sub>n</sub> explosions - the collisionless shock propagating in the CSM after the shock breakout (Ofek et al. 2010) - is predicted to radiate most in the UV and X-rays (Katz et al. 2011; Murase et al. 2011, 2014; Chevalier & Irwin 2012). Observing the explosion at these wavelengths has the potential to unveil precious information about the explosion mechanism and the CSM properties (e.g., Ofek et al. 2013b). In particular, it may provide a much better estimate of the bolometric luminosity of the event.

Second, UV observations can help constrain the geometrical distribution of the CSM, which is closely related to the mass-loss processes occurring before the explosion and probe the nature of the progenitors of this type of events.

Although observations of SNe II<sub>n</sub> are usually analyzed within the framework of spherically symmetric models of CSM, resolved images of stars undergoing considerable mass loss (e.g.,  $\eta$  Carinae; Davidson & Humphreys 1997, 2012), some of whom are likely SN II<sub>n</sub> progenitors (Gal-Yam et al. 2007; Gal-Yam & Leonard 2009) as well as polarimetric observations (Leonard et al. 2000; Hoffman et al. 2008; Wang & Wheeler 2008; Reilly et al. 2017) suggest that asphericity should be taken into account for more realistic modeling. Asphericity of the CSM has recently been invoked to interpret the spectroscopic and spectropolarimetric observations of the Type II<sub>n</sub> SN 2012ab (Bilinski et al. 2017) and SN 2009ip (Mauerhan et al. 2014; Smith et al. 2014; Levesque et al. 2014; Reilly et al. 2017).

In Soumagnac et al. (2019b), we showed that the light curve of the luminous Type II<sub>n</sub> SN PTF 12glz may be interpreted as evidence for aspherical CSM. While the spectroscopic analysis is consistent with opaque CSM obstructing our view of any growing structure,  $r_{BB}$  - the

radius of the deepest transparent emitting layer - grows by an order of magnitude, at a speed of  $\sim 8000 \text{ km s}^{-1}$ . To explain this tension, we considered a simple aspherical structure of CSM: a slab. We modeled the radiation from an explosion embedded in a slab of CSM by numerically solving the radiative diffusion equation in a slab with different density profiles:  $\rho = \text{Const.}$ ,  $\rho \propto |z|^{-1}$  and a wind density profile  $\rho \propto z^{-2}$ . Although this model is simplistic, it allows recovery of the peculiar growth of the blackbody radius  $r_{BB}$  observed in the case of PTF 12glz, as well as the decrease of its blackbody temperature  $T_{BB}$ .

This allowed us to derive a criterion for asphericity: a fast increase of  $r_{BB}$  can be interpreted as the signature of non-spherical CSM, if it is observed while the CSM is still optically thick. This is because the approximately stationary CSM is obscuring the expanding SN ejecta, and explaining an expanding emitting region due to photon diffusion in the CSM requires a non-spherical CSM configuration. In this paper, we assemble a sample of SNe II<sub>n</sub>, to which we apply this criterion in order to estimate the fraction of SNe II<sub>n</sub> showing evidence for non-spherical CSM.

Several samples of SNe II<sub>n</sub> have been gathered and studied so far. Among them, the sample by Kiewe et al. (2012), consists of four SNe II<sub>n</sub> observed by the Caltech Core-Collapse Project (CCCP) with the 1.5 m robotic telescope at the Palomar Observatory (P60; Cenko et al. 2006) using JohnsonCousins BVRI filters. They studied the light curve features and derived the progenitor star wind velocities. The sample by Taddia et al. (2013) consists of 5 SNe II<sub>n</sub> observed by the Carnegie Supernova Project (Hamuy et al. 2006) at visible-light and near-infrared wavelengths, and was used to derive mass-loss parameters. The sample by Ofek et al. (2014) consists of 19 SNe II<sub>n</sub> observed by the Palomar Transient Factory (Law et al. 2009; Rau et al. 2009) and its extension, the intermediate PTF (iPTF) using the PTF  $R$ -band filter. It allowed to exhibit a possible correlation between the  $r$ -band rise time and peak luminosity of SNe II<sub>n</sub> and to derive a lower limits on the shock-breakout velocity, supporting the idea that early-time light curves of SNe II<sub>n</sub> are caused by shock breakout in a dense CSM. The sample by Nyholm et al. (2019) consists of 42 objects with observations from PTF and iPTF, and was used for an in-depth study of their light-curve properties. de la Rosa et al. (2016) collected Swift UV observations of 10 SNe II<sub>n</sub> observed between 2007 and 2013 (8 of which post-peak) and studied e.g. their blackbody properties. To our knowledge, no systematic and planned survey of the early phase of SNe II<sub>n</sub> in the UV has been performed so far. In this paper, we present a sample of 12 SNe II<sub>n</sub>

155 detected and observed by the Zwicky Transient Facility  
 156 (ZTF) (e.g., Bellm et al. 2019; Graham et al. 2019) and  
 157 followed-up in the UV by the Neil Gehrels Swift Obser-  
 158 vatory (*Swift*) space telescope (Gehrels et al. 2004).

159 We present the aforementioned observations in §2. In  
 160 §3, we present some analysis of these observations. §4  
 161 is dedicated to constraining the fraction of SNe IIn ex-  
 162 ploding into aspherical CSM. We summarize our main  
 163 results in §5.

## 164 2. OBSERVATIONS AND DATA REDUCTION

165 In this section, we present the ZTF and *Swift* obser-  
 166 vations of the 12 SNe IIn of our sample.

### 167 2.1. Discovery

168 All 12 SNe IIn were detected by the ZTF automatic  
 169 pipeline as potential transients in the data from the ZTF  
 170 camera mounted on the 1.2 m Samuel Oschin telescope  
 171 (P48, Rahmer et al. 2008). The host galaxies r-band  
 172 magnitudes, as well as the coordinates, redshift and dis-  
 173 tance modulus of all objects are summarized in Table 1.  
 174 The Milky Way extinction was deduced from Schlafly &  
 175 Finkbeiner (2011) using the extinction curves of Cardelli  
 176 et al. (1989).

### 177 2.2. Selection criterion

178 Since the beginning of operation, ZTF has found sev-  
 179 eral spectroscopically confirmed SNe IIn per month.  
 180 However, applying the criterion for asphericity from  
 181 Soumagnac et al. (2019b) depends on our ability to mea-  
 182 sure the evolution of  $r_{\text{BB}}$  - the effective blackbody radius  
 183 - at the time when the CSM is still optically thick and  
 184 obstructing our view of any expanding material. We  
 185 selected only SNe IIn which were spectroscopically con-  
 186 firmed while still on their rise. This selection criterion  
 187 was motivated by two reasons (1) the spectrum of the  
 188 SNe IIn in this early phase is still well described by a  
 189 blackbody spectrum (2) the rise of the optical light curve  
 190 gives a better handle on the evolution of  $r_{\text{BB}}$  than the  
 191 peak phase (3) we assumed that rising SNe IIn are young  
 192 enough to allow us to take several *Swift* observations  
 193 and still be in the regime where expanding material has  
 194 not reached optically thin areas of the CSM. Some of  
 195 these objects were first reported and classified by other  
 196 surveys, see Table 1 for details.

### 197 2.3. Photometry

198 All the light curves are shown in Figure 1. The pho-  
 199 tometry is reported in electronic Table 2 and is available  
 200 via WISEREP<sup>1</sup>.

201 Photometry was obtained using the ZTF camera  
 202 mounted on the P48 telescope, through the P48  $r$  and  
 203  $g$  filters. Data were obtained with a cadence of about  
 204 1 – 3 days, to a limiting AB magnitude of  $r \approx 20.5$  mag  
 205 and  $g \approx 21$  mag. The P48 data were automatically re-  
 206 duced using the ZTF pipeline (Masci et al. 2019), using  
 207 the image subtraction algorithm ZOGY by Zackay et al.  
 208 (2016).

209 The robotic 1.52 m telescope at Palomar (P60; Cenko  
 210 et al. 2006) was used with a  $2048 \times 2048$ -pixel "Rain-  
 211 bow" CCD camera (Ben-Ami et al. 2012; Blagorodnova  
 212 et al. 2018) and  $g'$ ,  $r'$ ,  $i'$  SDSS filters. Data reduction  
 213 of the P60 data was performed using the FPIPE pipeline  
 214 (Fremming et al. 2016), using the image subtraction al-  
 215 gorithm by Zackay et al. (2016).

216 The *Swift* UVOT data were retrieved from the NASA  
 217 Swift Data Archive<sup>2</sup> and reduced using standard soft-  
 218 ware distributed with HEASOFT version 6.26<sup>3</sup>. Photom-  
 219 etry was measured using the FTOOLSs *uvotimsum* and  
 220 *uvotsource* with a 5 circular aperture.

221 None of the SNe IIn in our sample were detected with  
 222 the *Swift* XRT camera.

### 223 2.4. Spectroscopy

224 Optical spectra of all SNe were obtained using the tele-  
 225 scopes and spectrographs listed in Table 3. The spectra  
 226 were used to determine the redshift from the narrow host  
 227 lines ( $H\alpha$ ). All the spectra were corrected for Galac-  
 228 tic extinction as deduced from Schlafly & Finkbeiner  
 229 (2011), using Cardelli et al. (1989) extinction curves.

230 All spectra are shown in Figure 2 and are available  
 231 from WISEREP. In the following, we summarize the re-  
 232 duction procedures applied for each spectrum. All spec-  
 233 troscopic observations were calibrated in the following  
 234 way: since we have contemporaneous P48  $r$ -band data,  
 235 all spectra were scaled so that their synthetic photome-  
 236 try matches the P48  $r$ -band value.

237 The Spectral Energy Distribution Machine (SEDm,  
 238 Ben-Ami et al. 2012; Blagorodnova et al. 2018) spectra  
 239 were automatically reduced by the IFU data reduction  
 240 pipeline (Rigault et al. 2019).

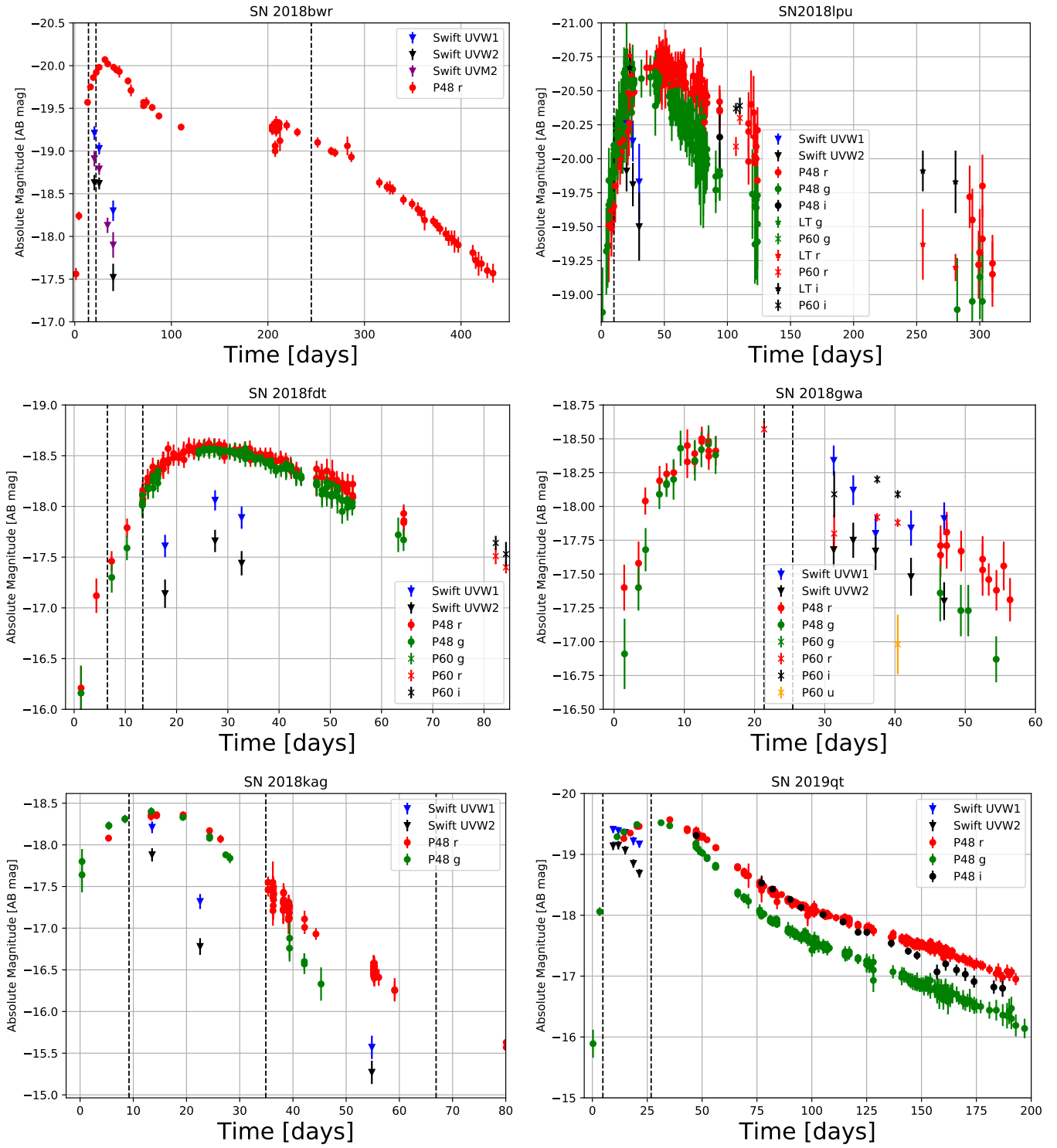
241 The SPRAT spectra were processed by a modification  
 242 of the pipeline for FrodoSpec (Barnsley et al. 2012).

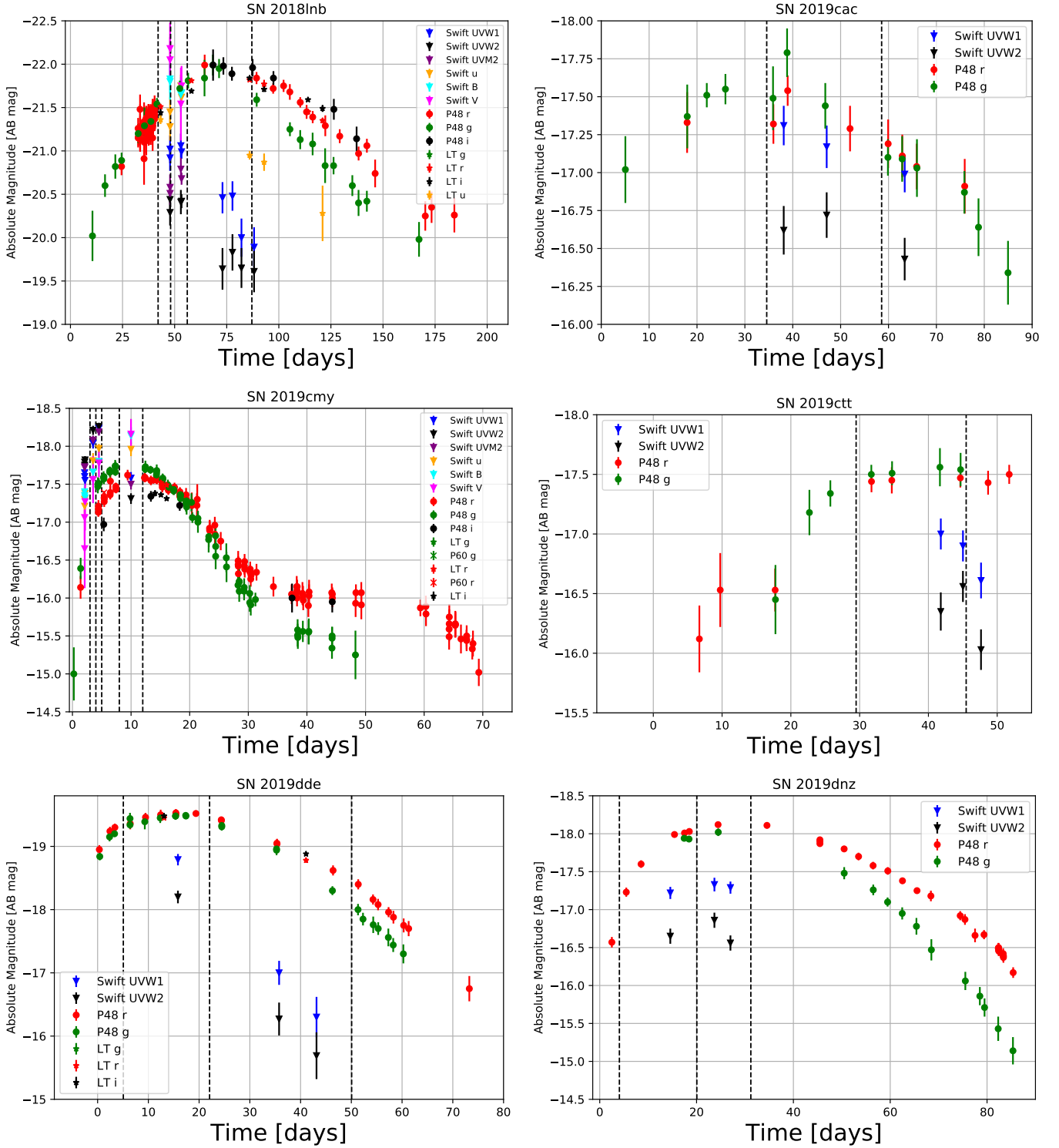
243 The spectra taken with the Andalucia Faint Object  
 244 Spectrograph and Camera (ALFOSC), mounted on the

<sup>1</sup> <https://wiserep.weizmann.ac.il>

<sup>2</sup> <https://heasarc.gsfc.nasa.gov/cgi-bin/W3Browse/swift.pl>

<sup>3</sup> <https://heasarc.nasa.gov/lheasoft/>





**Figure 1.** The light curves of all the objects in our sample. Time is shown relative to the estimated epoch at which the extrapolated light curve (Equation A1 and Equation A2) is reaching zero:  $t_0$ , as derived in § 3.1 and summarized in Table 4. The x-axis starts at the most recent non-detection, used as the lower limit of the prior in the  $t_0$  fit. Black dashed lines indicate dates at which spectroscopic data exist.

**Table 1.** Summary of observational parameters

IAU Name	ZTF Name	RA (deg)	Dec (deg)	Redshift	Distance modulus (mag)	Galactic extinction $E_{B-V}$ (mag)
SN 2018lpu	ZTF18abgrlpv	283.937395	+47.441250	0.2104	40.10	0.055
SN 2018fdt	ZTF18ablthfo	256.184755	+38.235567	0.055	36.91	0.036
SN 2018gwa	ZTF18abxbhov	110.069724	+41.346650	0.0659	37.33	0.075
SN 2018bwr	ZTF18aavskep	232.109019	+8.806157	0.046	36.50	0.036
SN 2018kag	ZTF18acwzyor	133.951981	+3.5841530	0.02736	35.33	0.045
SN 2019qt	ZTF19aadgimr	224.794385	+43.819899	0.035	35.88	0.017
SN 2018lnb	ZTF19aaadwfi	159.5836458	+48.2752905	0.222	40.23	0.012
SN 2019cac	ZTF19aaksxgp	207.5882959	-2.5069478	0.0467	36.53	0.049
SN 2019cmv	ZTF19aanpcep	227.2118487	+40.7137497	0.0314	35.58	0.015
SN 2019ctt	ZTF19aanfqug	150.176198	+12.039836	0.0464	36.50	0.037
SN 2019dde	ZTF19aaozsuh	217.05016	-1.5804196	0.06	37.11	0.052
SN 2019dnz	ZTF19aaqasrq	297.131153	+2.91375	0.025	35.13	0.183

NOTE—The three first SNe are those for which we were unable to secure enough spectroscopic data in order to include them in our analysis of the CSM geometry (see § 3.3). SN 2018lpu was discovered and classified by the ZTF survey; SN 2018fdt was discovered by the ATLAS survey on 2018-08-14 as ATLAS18tuy (Tonry et al. 2018b), also detected by Gaia surveys as Gaia18chl, classified by ZTF (Fremling et al. 2018a); SN 2018gwa was discovered (Fremling 2018) and classified (Fremling et al. 2018b) by ZTF, also detected by Gaia on 2018-10-05 as Gaia18cxl; The rest of the SNe in the table are all included in our analysis of the CSM geometry. SN 2018bwr was discovered by the ATLAS survey on 2018-05-21 as ATLAS18ppb (Tonry et al. 2018a), also detected by PS1 and Gaia surveys as PS18aau and Gaia18bpl, classified by ZTF (Fremling & Sharma 2018); SN 2018kag was discovered by the ASAS-SN survey on 2018-12-17 as ASASSN-18abt and classified by Prentice et al. (2018); SN 2019qt was discovered (Nordin et al. 2019a) and classified (Payne et al. 2019) by ZTF, also detected by ATLAS, Gaia and PS1 as ATLAS19btl, Gaia19aid and PS19ahv; SN 2018lnb was discovered and classified by ZTF (Fremling et al. 2019a); SN 2019cac was discovered and classified by ZTF (Fremling 2019a), also detected by ATLAS and PS1 as ATLAS19doj and PS19ym; SN 2019cmv was discovered (Nordin et al. 2019b) and classified (Fremling et al. 2019b) by ZTF, also detected by ATLAS as ATLAS19elx; SN 2019ctt was discovered by ZTF (Nordin et al. 2019c) and classified by SCAT (Tucker et al. 2019); SN 2019dnz was discovered by ZTF (Fremling 2019b) and classified by TCD (Prentice et al. 2019), also detected by ATLAS as ATLAS19hra; SN 2019dde was discovered by ZTF, classified by ZTF (Fremling et al. 2019c) and (Cartier et al. 2019), also detected by MASTER and PS1 as MASTER OT J142812.05-013615.2 and PS19aaa.

245 2.56-meter Nordic Optical Telescope (NOT), were re-  
246 duced following standard IRAF<sup>4</sup> procedures.

247 The spectra taken with the Auxiliary-port CAMera  
248 (ACAM), mounted on the 4.2-m William Herschel Tele-  
249 scope (WHT), were processed following standard IRAF  
250 procedures.

251 The data from the Double Beam Spectrograph  
252 (DBSP) on the Palomar 200-inch (P200) telescope were  
253 reduced following standard IRAF procedures of long slit  
254 spectroscopy. The two-dimensional (2D) images were  
255 first bias subtracted and flatfield-corrected, then the 1D

256 spectral spectra were extracted, wavelength calibrated  
257 with comparison lamps, and flux calibrated using obser-  
258 vations of spectrophotometric standard stars observed  
259 during the same night and at approximately similar air-  
260 masses to the SN.

261 The spectra taken with the SuperNova Integral Field  
262 Spectrograph (SNIFS; Aldering et al. 2002; Lantz et al.  
263 2004) were obtained from TNS with kind permission from  
264 Anna V Payne and Michael A. Tucker.

265 Data taken with the FLOYDS spectrograph mounted  
266 on the 2m Faulkes Telescope North, Hawaii, USA  
267 through the observing program TAU2019A-008 (PI:  
268 Ofek). A 1/2 slit was placed on the target. The spec-  
269 trum was extracted and calibrated following standard

<sup>4</sup> IRAF is distributed by the National Optical Astronomy Observa-  
tories, which are operated by the Association of Universities for  
Research in Astronomy, Inc., under cooperative agreement with  
the National Science Foundation.

**Table 2.** Photometry

Object	Epoch	Mag	Magerr	Flux	Fluxerr	Abs. mag	Abs. magerr	Filter	Instrument
(JD)	(AB)	(AB)	(erg/s)	(erg/s)	(AB)	(AB)			
ZTF18aavskep	2458273.8166	16.75	0.01	$5.267e - 16$	$0.049e - 16$	-19.75	0.01	<i>r</i>	ZTF+P48
ZTF19aadgimr	2458502.9868	16.59	0.04	$1.097e - 15$	$0.040e - 15$	-19.29	0.04	<i>g</i>	ZTF+P48
ZTF19aadgimr	2458586.8067	17.75	0.04	$1.366e - 16$	$0.050e - 16$	-18.13	0.04	<i>i</i>	ZTF+P48
ZTF18aavskep	2458277.8361	17.87	0.09	$1.833e - 15$	$0.152e - 15$	-18.63	0.09	<i>UVW2</i>	Swift+UVOT
ZTF18aavskep	2458277.8383	17.58	0.09	$2.004e - 15$	$0.166e - 15$	-18.91	0.09	<i>UVM2</i>	Swift+UVOT
ZTF18aavskep	2458277.8405	17.29	0.08	$1.984e - 15$	$0.146e - 15$	-19.21	0.08	<i>UVW1</i>	Swift+UVOT

NOTE—This table is available in its entirety in machine-readable format in the online journal. A portion is shown here for guidance regarding its form and content. Time is shown relative to the estimated epoch at which the extrapolated light curve (based on Equation A2 and Equation A1) is reaching zero, as derived in § 3.1 and shown in Table 1.

270 procedures using the FLOYDS data reduction pipeline<sup>5</sup>  
 271 (Valenti et al. 2014).

272 Data from the Dual Imaging Spectrograph (DIS6)  
 273 mounted on the 3.5 m Astrophysics Research Consor-  
 274 tium (ARC) telescope at the Apache Point Observatory  
 275 were reduced using standard procedures and calibrated  
 276 to a standard star obtained the same night using the  
 277 PyDIS package (Davenport 2018);

278 Data taken with the the Keck Low-Resolution Imaging  
 279 Spectrometer (LRIS) (Oke et al. 1995). The data was  
 280 reduced with the LRIS automated reduction pipeline<sup>6</sup>.

### 281 3. ANALYSIS

#### 282 3.1. Epoch of zero flux

283 In order to derive the epoch of zero flux of all the  
 284 events, we used the `Photomanip`<sup>7</sup> package (released in  
 285 the Appendix of this paper) to fit the *r*-band flux during  
 286 the rise time (or the *g*-band fluxlight curve, when early *r*-  
 287 band data points are not available) with an exponential  
 288 function of the form

$$f = f_{\max}\{1 - \exp[(t_0 - t)/t_c]\}, \quad (1)$$

289 and a power-law of the form

$$f = a(t - t_0)^n, \quad (2)$$

290 (where  $t_0$  is the extrapolated time of zero flux,  $f_{\max}$   
 291 is the maximum flux,  $t_c$  is the characteristic rise time of  
 292 the bolometric light curve). In each case, we chose the  
 293 function giving the best fit, which allowed us to estimate  
 294 the epochs at which the extrapolated light curves are

<sup>5</sup> [https://github.com/svalenti/FLOYDS\\_pipeline](https://github.com/svalenti/FLOYDS_pipeline)

<sup>6</sup> <http://www.astro.caltech.edu/dperley/programs/lpipe.html>

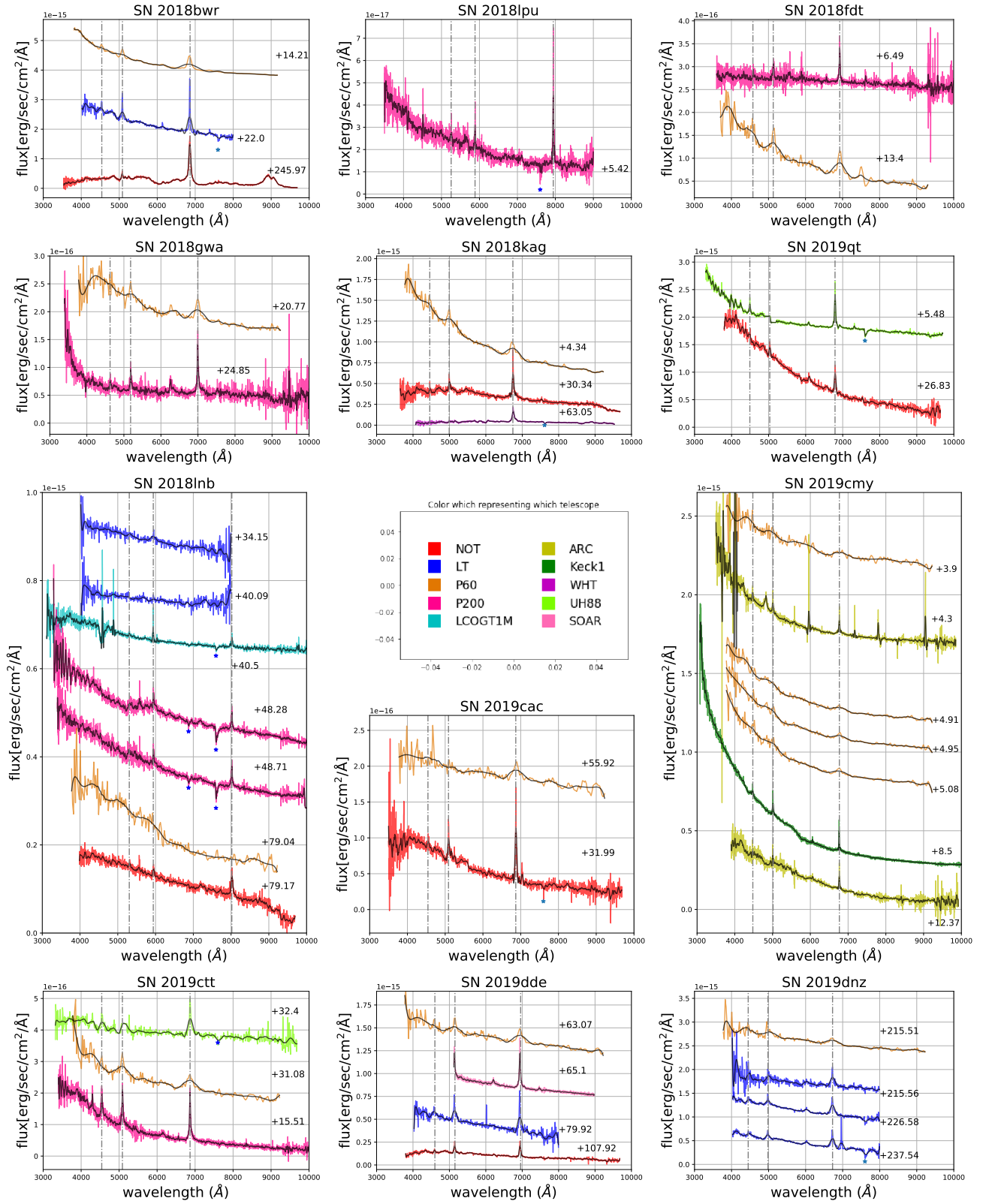
<sup>7</sup> <https://github.com/maayane/PhotoManip>

295 reaching zero, which are used throughout this paper as  
 296 the reference time  $t_0$ , and are summarized in Table 4.  
 297 For each SN in our sample, the table shows the band in  
 298 which the fit was performed (*g* or *r*, depending on how  
 299 constraining the data are), the prior on  $t_0$  is taken to be  
 300 the time-interval between the most recent pre-explosion  
 301 upper limit and the first detection. Table 4 also shows  
 302 the  $1\sigma$  confidence interval on  $t_0$ . The typical uncertainty  
 303 on  $t_0$  is of order 1 to a few days, with the exception of  
 304 SN 2019cac (where no previous non-detection exists and  
 305 for which we applied a broad conservative prior on  $t_0$ ),  
 306 for which it is higher than 20 days.

#### 307 3.2. Blackbody temperature, radius and bolometric 308 luminosity

309 Taking advantage of the multiple-band photometry  
 310 coverage, we used the `PhotoFit`<sup>8</sup> tool (Soumagnac et al.  
 311 2019a) to derive the temperature and radius of the  
 312 blackbody that best fits the photometric data at each  
 313 epoch. The derived best-fit temperatures  $T_{BB}$  and radii  
 314  $r_{BB}$  are shown in Figure 3. We observe that seven ob-  
 315 jects of our sample exhibit a fast increase of the black-  
 316 body radius, a result in contrast with most previous ob-  
 317 servations. Indeed, many previously studied SNe IIn  
 318 showed a constant blackbody radius (e.g., SN2010jl Ofek  
 319 et al. 2014), consistent with the continuum photosphere  
 320 being located in the unshocked optically thick CSM.  
 321 In some cases a blackbody radius stalling after a short  
 322 increase (e.g., 2005kj, 2006bo, 2008fq, 2006qq, Taddia  
 323 et al. 2013; 2006tf, Smith et al. 2008) or even a shrink-  
 324 ing blackbody radius (e.g., SN2005ip; SN2006jd, Taddia  
 325 et al. 2013) were observed. Such observations were ex-  
 326 plained by the possible presence of clumps in the CSM

<sup>8</sup> <https://github.com/maayane/PhotoFit>



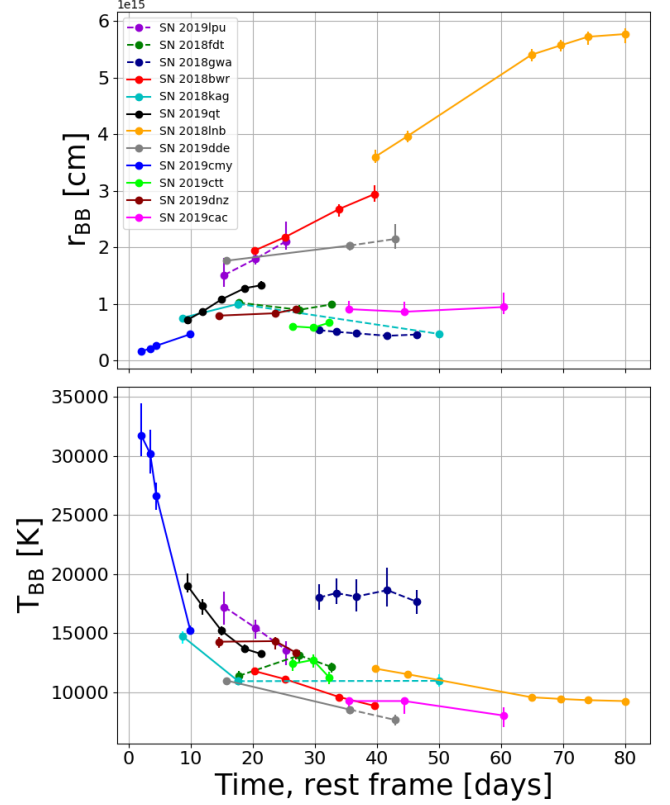
**Figure 2.** Optical spectra of all Type IIIn SNe studied for this article. The dashed vertical lines show the Balmer series. The blue stars indicate telluric absorption.



**Table 3.** Summary of spectroscopic observations

Object	Date	Facility	
SN 2018bwr	2018 Jun 02	P60 + SEDM	
	2018 Jun 10	LT + SPRAT	
	2019 Jan 19	NOT + ALFOSC	
SN 2018lpu	2018 Jul 17	P200 + DBSP [1]	
SN 2018fdt	2018 Aug 12	P60 + SEDM	
	2018 Aug 19	P200 + DBSP	
SN 2018gwa	2018 Oct 06	P60 + SEDM	
	2018 Oct 10	P200 + DBSP	
SN 2018kag	2018 Dec 24	P60 + SEDM	
	2019 Jan 19	NOT + ALFOSC	
	2019 Feb 20	WHT + ACAM	
SN 2019qt	2019 Jan 13	UH88 + SNIFS *	
	2019 Feb 04	NOT + ALFOSC	
SN 2018lnb	2019 Jan 29	LT + SPRAT	
	2019 Feb 04	LCOGT 2m + FLOYDS	
	2019 Feb 04	LT + SPRAT	
	2019 Feb 12	P200 + DBSP	
	2019 Feb 12	P200 + DBSP	
	2019 Mar 15	NOT + ALFOSC	
	2019 Mar 15	P60 + SEDM	
	SN 2019cac	2019 Mar 14	P60 + SEDM
	SN 2019cmy	2019 Apr 07	NOT + ALFOSC
SN 2019cmy	2019 Mar 29	P60 + SEDM	
	2019 Mar 30	ARC + DIS	
	2019 Mar 30	P60 + SEDM	
	2019 Mar 30	P60 + SEDM	
	2019 Mar 31	P60 + SEDM	
	2019 Apr 03	Keck1 + LRIS	
SN 2019ctt	2019 Apr 07	ARC + DIS	
	2019 Apr 06	UH88 + SNIFS *	
	2019 Apr 22	P60+SEDM	
SN 2019dde	2019 Apr 24	P200+DBSP	
	2019 Apr 14	P60 + SEDM	
	2019 Apr 16	SOAR + Goodman *	
SN 2019dzn	2019 May 01	LT + SPRAT	
	2019 Apr 19	P60 + SEDM	
	2019 Apr 19	LT + SPRAT	
SN 2019dzn	2019 Apr 19	LT + SPRAT	
	2019 Apr 30	LT + SPRAT	
	2019 Apr 30	LT + SPRAT	
	2019 May 11	LT + SPRAT	

NOTE—The spectra marked with a star were obtained from the TNS and kindly made available to us by Anna V Payne, Michael A. Tucker (SCAT) and Dr. Regis Cartier. [1] The 600/4000 grism and 316/7500 grating were used for the blue and red cameras, respectively, with the D55 dichroic.



**Figure 3.** The evolution in time of: (1) the radius (upper panel), (2) the temperature (lower panel) of a blackbody with the same radiation as each of the twelve SNe in our sample. The points were obtained by fitting a blackbody spectrum to the observed photometry, after interpolating the various data sets to obtain data coverage of coinciding epochs. The errors were obtained with Monte Carlo Markov chain simulations. The dashed lines correspond to objects for which no late spectra was obtained in order to confirm that the CSM is optically thick. They should be taken cautiously.

327 that may expose underlying layers (Smith et al. 2008).  
 328 PTF 12glz was not the only case where a fast increase of  
 329 the blackbody radius was observed: three of the SNe  
 330 IIn observed - in the UV - by de la Rosa et al. (2016)  
 331 showed blackbody radii growing at comparable rates.  
 332 This could be due to the fact that UV observations pro-  
 333 vide a better handle on the blackbody spectrum shape  
 334 than visible light alone, suggesting that a fast increase of  
 335 the blackbody radius of SNe IIn may be more common  
 336 than suggested by visible-light surveys of these objects.

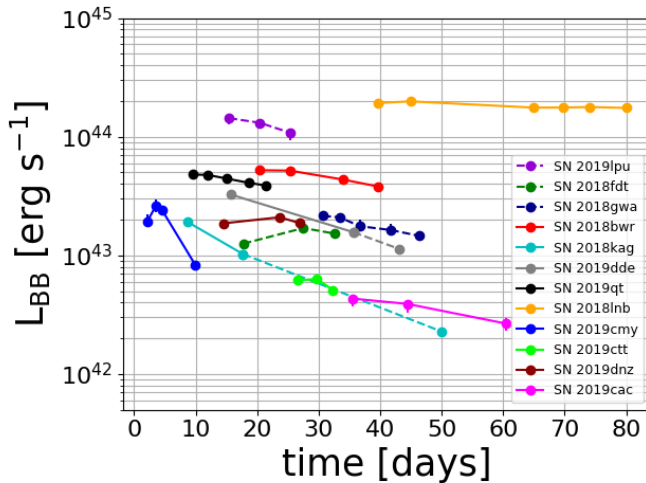
337 We further discuss and exploit the  $r_{BB}$  measurement  
 338 in § 4.

339 Based on the measurement of  $r_{BB}$  and  $T_{BB}$ , we were  
 340 able to derive the luminosity  $L_{BB} = 4\pi r_{BB}^2 \sigma T_{BB}^4$  of the  
 341 blackbody fits, shown in Figure 4.

**Table 4.** Reference times fitting results

IAU Name	ZTF Name	model	band	most recent upper limit	$t_0$	confidence interval
				( <i>JD</i> )	( <i>JD</i> )	( <i>JD</i> )
SN 2018lpu	ZTF18abgrlpv	power law	<i>g</i>	2458306.847	2458306.846	[2458306.845,2458307.330]
SN 2018fdt	ZTF18ablthfo	exponent	<i>r</i>	2458334.665	2458336.338	[2458335.790,2458336.632]
SN 2018gwa	ZTF18abxbhov	exponent	<i>g</i>	2458374.969	2458376.524	[2458375.206, 2458376.526]
SN 2018bwr	ZTF18aavskep	exponent	<i>r</i>	2458257.521	2458257.527	[2458257.399, 2458257.644]
SN 2018kag	ZTF18acwzyor	power law	<i>g</i>	2458464.965	2458467.591	[2458466.373, 2458467.880]
SN 2019qt	ZTF19aadgimr	exponent	<i>g</i>	2458488.008	2458491.726	[2458491.634, 2458491.800]
SN 2018lnb	ZTF19aaadwfi	power law	<i>g</i>	2458467.972	2458475.834	[2458475.415, 2458478.945]
SN 2019cac	ZTF19aaksxgp	power law	<i>g</i>	2458521.778	2458521.937	[2458503.976,2458526.771]
SN 2019cmv	ZTF19aanpcep	exponent	<i>g</i>	2458567.983	2458568.505	[2458568.330,2458568.577]
SN 2019ctt	ZTF19aanfqug	exponent	<i>r</i>	2458541.796	2458550.011	[2458546.826,2458551.973]
SN 2019dde	ZTF19aaoszuh	power law	<i>r</i>	2458573.902	2458582.434	[2458580.024,2458582.710]
SN 2019dnz	ZTF19aaqasrq	exponent	<i>r</i>	2458581.995	2458583.441	[2458582.907,2458583.702]

NOTE—The "model" column specifies whether a power law (Equation A2) or a concave exponent (Equation A1) gives the best fit. The "band" column specifies the band (*g* or *r*) used for the fit, and was chosen according to the amount of data available in each band. We then report the most recent non detection, which we use as the lower limit of our prior on  $t_0$  (we use the most recent detection as the upper limit). For SN 2019cac, no previous non-detection exists, and so our prior interval is a time interval of 100 days before the first detection. The " $t_0$ " column is the best fit time at which the flux reaches zero - the time used as an estimate of the explosion epoch. The confidence interval, shown in the last column, is defined here as the tightest intervals containing 68% of the probability and including our best-fit  $t_0$  value.



**Figure 4.** The evolution in time of the bolometric luminosity of a blackbody with the same radiation as each of the twelve SNe in our sample. The dashed lines correspond to objects for which no late spectra was obtained in order to confirm that the CSM is optically thick. They should be taken cautiously.

### 3.3. Spectroscopy

In this section, we only report the spectroscopic information that allow us to assess which photometric data

are usable for our analysis of the CSM geometry. Indeed, the asphericity criterion proposed by Soumagnac et al. (2019b) is only applicable at times when the CSM surrounding the explosion is optically thick. To verify this, we require that the spectrum will be dominated by a blackbody continuum with no high velocity ( $> 2000 \text{ km s}^{-1}$ ) absorption and emission lines.

We can only include in our analysis multiple-band photometry that was collected before, or close to, the observation of a spectrum showing no evidence for high-velocity material. Unfortunately, we were unable to secure such spectroscopy for the SNe IIn ZTF18abgrlpv, ZTF18ablthfo and ZTF18abxbhov, for which no spectra were taken after or close to the last *Swift* data point.

#### 3.3.1. SN 2018bur

The first two spectra show  $H_\alpha$ ,  $H_\beta$  and  $H_\gamma$  emission lines. In the last spectrum, we see prominent broad Ca II emission, blended with the O I  $\lambda 8446 \text{ \AA}$  feature. The numerous Fe lines are blended, exhibiting a pseudo-continuum around  $\sim 5500 \text{ \AA}$ . Such a pseudo continuum is also seen e.g. in PTF 12glz (Soumagnac et al. 2019b) and in SN 2005 cl (Kiewe et al. 2012). We conclude from this that the spectra are dominated by interaction out to late times, and we can use all of the UV photometry for our analysis.

### 3.3.2. *SN 2018kag*

The first spectrum shows a blue continuum with Balmer emission lines. The Balmer lines remain discernible at +30.3 d and the continuum becomes flat. At +63.10 d, higher velocity absorption and emission lines have appeared in the spectrum, hinting that the CSM may not be optically thick anymore. As a result, only the UV photometry taken between the first two spectra is usable for our analysis of the CSM geometry.

### 3.3.3. *SN 2019qt*

Distinct narrow  $H_\alpha$  and  $H_\beta$  emission lines are visible in both spectra.  $H_\gamma$  emission is also visible, especially in the earlier spectrum. Since all the UV photometry was taken between the epochs of these two spectra, all of it is usable for our analysis.

### 3.3.4. *SN 2018lnb*

Narrow Balmer emission are visible in all spectra except for the first two spectra, in which the  $H\alpha$  component falls outside the spectral range of SPART/LT, and the SEDm/P60 spectrum which has low signal-to-noise. All of the UV photometric data is usable for our analysis.

### 3.3.5. *SN 2019cac*

In spite of the low resolution of the first spectrum,  $H_\alpha$  emission is visible at +31.9 d. Strong emission lines of  $H_\alpha$ ,  $H_\beta$  and  $H_\gamma$  can be observed at +55.9 d. Although the last UV data point was taken after the second spectrum, we consider their epochs to be close enough so that all of the UV data can be used for our analysis.

### 3.3.6. *SN 2019cmj*

The narrow Balmer emission lines, that define the type IIn class, are the signature of an external physical phenomenon highly dependent on the surrounding environment, rather than of any intrinsic property of the explosion. In the case of “flash spectroscopy” events, these lines only persist for days, whereas in the case of SNe IIn they may still be visible in the spectrum for months or years. The type IIn class is not a well-defined category of objects, and in particular, the limit between flash-spectroscopy events and Type IIn SNe can be blurry, when the Balmer lines persist for weeks or a few months.

In the case of SN 2019cmj, prominent narrow Balmer emission lines are visible at +4.9 d, with the characteristic broad wings of the  $H\alpha$  line, interpreted as the signature of electron scattering, clearly visible. Strong high-ionization emission lines of He II  $\lambda 4686 \text{ \AA}$  only persists at +4.9 days. An excess on the blue side of the He II  $\lambda 4686 \text{ \AA}$  coincides with the high-ionized C III  $\lambda 4650 \text{ \AA}$ . However, by +8.5 d, the C III  $\lambda 4650 \text{ \AA}$  and

He II  $\lambda 4686 \text{ \AA}$  lines have completely disappeared, consistent with flash-ionized emissions. The Balmer lines decrease in strength with time: the  $H_\gamma \lambda 4341 \text{ \AA}$  and  $H_\delta \lambda 4102 \text{ \AA}$  are marginally detected at +8.5 d and have disappeared by day +12.4. A spectrum taken two months after first light (and not shown in this paper) exhibits the features of a “normal” Type II SN, without any particular signature of CSM interaction.

Our geometrical analysis, which probes the shape of the CSM rather than its amount or the physical ways by which it was ejected, should still hold. All the UV photometry is usable for our analysis.

### 3.3.7. *SN 2019ctt*

Narrow Balmer lines ( $H_\alpha$ ,  $H_\beta$ ,  $H_\gamma$ ) are visible in all three spectra. The  $H_\delta$  line is also visible in the higher resolution spectrum at +32.4 d. All the UV photometry is usable for our analysis.

### 3.3.8. *SN 2019dde*

The first spectrum, taken at +63.07 d with the SEDm/P60 shows narrow Balmer lines ( $H_\alpha$ ,  $H_\beta$ ,  $H_\gamma$ ,  $H_\delta$ ,  $H_\epsilon$ ). The three later spectra at +65.10 d, +79.92 d and +107.92 d show narrow  $H_\alpha$  and  $H_\beta$  emission lines,

In the last spectrum, a narrow He  $\lambda 5876 \text{ \AA}$  emission line is visible. Although the Balmer series is strongly dominated by narrow emission, the broad absorption at 5000-10000  $\text{km s}^{-1}$  suggests that the ejecta have become visible, and the CSM is not completely optically thick anymore.

To account for this, we only use the first two UV epochs for our analysis.

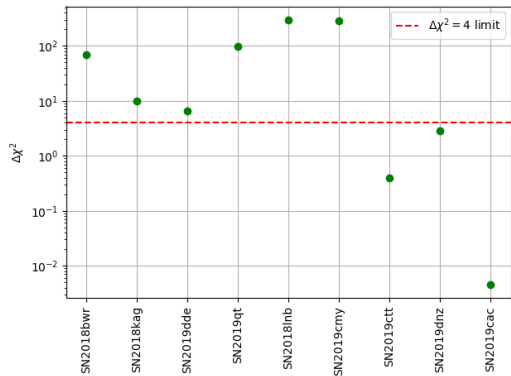
### 3.3.9. *SN 2019dnz*

Narrow Balmer lines ( $H_\alpha$ ,  $H_\beta$ ,  $H_\gamma$ ) are visible in all three spectra. In addition,  $H_\delta$ ,  $H_\epsilon$  emission lines can be seen in the last spectrum. All the UV photometry can be used for our analysis.

### 3.3.10. *Events with missing final spectra*

For three objects of our sample, we were unable to collect a spectrum showing no evidence for high-velocity material close to or after the last UV photometry epoch. For SN 2018lpu, one spectrum was taken, where strong and narrow Balmer lines can be seen. Other interesting features include narrow emission of He II ( $\lambda 3203 \text{ \AA}$ ,  $\lambda 4686 \text{ \AA}$ ), [O II]  $\lambda 3727 \text{ \AA}$ , and [O III]  $\lambda 5007 \text{ \AA}$ . For both SN 2018fdt and SN 2018gwa, two spectra were obtained before any *Swift* photometry was taken. Both show prominent narrow Balmer emission lines.

## 4. FRACTION OF SNE IIN SHOWING EVIDENCE FOR ASPHERICAL CSM



**Figure 5.** Result of the likelihood-ratio test (or chi-square difference test), when modeling the evolution of  $r_{\text{BB}}$  with a power law and with a flat function. The red dashed line shows the  $\Delta\chi^2 = 4$  (i.e.  $2\sigma$ ) limit for one degree of freedom difference: objects with a  $\Delta\chi^2$  limit above this line are better modeled by a non-zero power law (and hence show evidence for aspherical CSM), whereas objects below this line are better modeled by a flat line (i.e. show no evidence for aspherical CSM). Applying the criterion from Soumagnac et al. (2019b), six out of nine SNe IIn in our sample show evidence for aspherical CSM.

#### 4.1. Application of the asphericity criterion from Soumagnac et al. (2019b)

Assessing whether the blackbody radius  $r_{\text{BB}}$ , shown in Figure 3, is growing or not, is a model selection problem, i.e. we need to select between two models the one that best explains the data. Our first model is a power law function of the form  $R = R_0 \left(\frac{t}{t_0}\right)^n$ , and our second model is a flat function of the form  $R = R_0$  (i.e.  $n = 0$ ). Since these models are nested, we can apply a likelihood-ratio test (or chi-square difference test) to discriminate between them. In Figure 5, we show the  $\chi^2$  difference between the two models derived for all objects. For six out of nine objects,  $\Delta\chi^2 > 4$  i.e. the chi-square difference indicates that the increasing radius is more likely than the constant radius at a  $2\sigma$  level. Therefore 66% of the SNe in our sample (taking into account only the SNe to which our analysis is applicable) show evidence for aspherical CSM.

#### 4.2. Correction for the non-uniform volume distribution of the SNe in our sample

In Figure 6, we show the distribution of absolute magnitudes of the SNe IIn of our sample. The overall distribution (in blue) is comparable to previously published absolute luminosity distribution for SNe IIn (see e.g. Figure 17 in Richardson et al. 2014). However, the SNe showing no evidence for a rising  $r_{\text{BB}}$  are on the faint end of the distribution, i.e. located close to the observer.

If we assume that in reality, both groups of SNe obey the same luminosity - and volume - distribution, the SNe showing no evidence for a rising  $r_{\text{BB}}$  appear to be under-represented in our sample, a fact that needs to be corrected for in the final probability calculation. A full relative rate calculation, taking into account a broader variety of selection effects, e.g. due to the cadence, the varying limiting magnitude of each image or the extinction at the location of the SN, is beyond the scope of this paper. Here, we simply estimate the relative probability  $p_i$  of finding the  $i^{\text{th}}$  SN IIn of our sample (SN $_i$ ) as

$$p_i = \frac{1}{\sum_{j=1}^9 \frac{1}{V_{\text{max},j}}}, \quad (3)$$

where  $V_{\text{max},i}$  is the maximum volume to which SN $_i$  can be observed, under the assumption of a constant limiting magnitude for the survey in the  $r$ ,  $m_{\text{lim}} = 20.5$ .

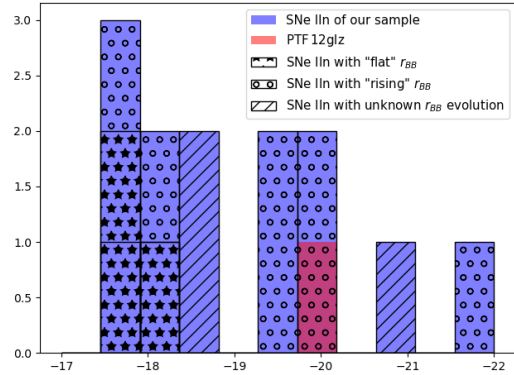
We find that although 66% of the SNe IIn to which our analysis is applicable exhibit a rising  $r_{\text{BB}}$ , the corrected fraction of SNe IIn showing such feature is 35%. As this is a sufficient but not necessary condition for the surrounding CSM to be aspherical, 35% is a lower limit on the fraction of SNe IIn exploding in aspherical CSM.

## 5. CONCLUSIONS

We presented the first planned Ultra-Violet (UV) survey of the early evolution of type IIn supernovae (SNe IIn). Our sample consists of 12 SNe IIn discovered and observed with the Zwicky Transient Facility (ZTF) and followed-up in the UV by the Neil Gehrels Swift Observatory. All SNe were also spectroscopically followed-up: we present and release the spectroscopic data we collected.

The UV observations presented in this paper could help shed light on various aspects of the physical picture governing these events. For example, they may be used to better understand the explosion mechanism and the CSM properties (e.g., Ofek et al. 2013b), since the collisionless shock propagating in the CSM after the shock breakout (Ofek et al. 2010) is predicted to radiate most in the UV and X-rays.

Observations of SNe IIn at UV wavelengths provide a better handle on the bolometric luminosity, blackbody radius and blackbody temperature than visible-light observations alone. This may be a reason why the fast rising blackbody radius - which we observe for seven objects out of the twelve of our sample - was only observed in the past in works using UV observations of SNe IIn (de la Rosa et al. 2016; Soumagnac et al. 2019b). This result is in contrast with most previous observations



**Figure 6.** Absolute magnitude of the twelve SNe IIn of our sample and PTF 12glz. The blue histograms correspond to the entire sample and the red square corresponds to PTF 12glz. The star-patterned histograms correspond to the SNe IIn whose radius is better modeled by a flat function than by a power law (i.e. showing no evidence for aspherical CSM). These objects are at the faint end of the distribution, an effect we need to correct for in the calculation of their probability to occur (see § 4.2). The circle-patterned histograms correspond to the SNe IIn whose radius is better modeled by a power law (i.e. showing evidence for aspherical CSM). The line-patterned histograms correspond to the SNe IIn discussed in § 3.3.10, i.e. for which no late spectrum was collected and to which our analysis of the CSM geometry does not apply.

540 using visible-light observations alone, of either a constant,  
 541 slowly rising (and then stalling) or even a shrinking  
 542 blackbody radius.

543 We used the UV observations to address the following  
 544 question: ”what fraction of SNe IIn explode in aspheri-  
 545 cal CSM?”. Indeed, although observations of SNe IIn  
 546 are usually analyzed within the framework of spherically  
 547 symmetric models of CSM, resolved images of stars un-  
 548 dergoing considerable mass loss as well as well as po-  
 549 larimetry observations, suggest that asphericity is com-  
 550 mon, and should be taken into account for realistic mod-  
 551 eling of these events. Constraining the geometrical dis-  
 552 tribution of the CSM surrounding the explosion is key to  
 553 understanding the mass-loss processes occurring before  
 554 the explosion and the nature of the yet-to-be determined  
 555 progenitors of SNe IIn. Indeed, the presence of aspheri-  
 556 cal CSM around the progenitor is hard to explain by a  
 557 simple wind, and requires to invoke other scenarios, such  
 558 as episodic emission, rapid stellar rotation, or binarity.

559 We applied the criterion for asphericity introduced by  
 560 Soumagnac et al. (2019b), stating that a fast increase  
 561 of the blackbody effective radius, if observed at times  
 562 when the CSM surrounding the explosion is still opti-  
 563 cally thick, may be interpreted as an indication that the  
 564 CSM is aspherical. We find that two thirds of the SNe

565 in our sample show evidence for aspherical CSM. After  
 566 correcting for selection effects which leads SNe IIn not  
 567 showing such evidence to be under-represented in our  
 568 sample, we derive a conservative lower limit of 35% on  
 569 the fraction of SNe IIn showing evidence for aspherical  
 570 CSM.

571 As future wide-field transient surveys and the *UL-*  
 572 *TRASAT* UV satellite mission (Sagiv et al. 2014) are  
 573 deployed, more UV observations of interacting SNe will  
 574 be collected, allowing to build upon this survey and to  
 575 refine the lower limit derived in this paper.

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 585 Elektronen-Synchrotron and Humboldt University, Los  
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594 This paper shows observations made with the Nordic  
 595 Optical Telescope, operated by the Nordic Optical Tele-  
 596 scope Scientific Association at the Observatorio del  
 597 Roque de los Muchachos, La Palma, Spain, of the In-  
 598 stituto de Astrofísica de Canarias.

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 600 FOSC, which is provided by the Instituto de Astrofísica  
 601 de Andalucía (IAA) under a joint agreement with the  
 602 University of Copenhagen and NOTSA. The Liverpool  
 603 Telescope, located on the island of La Palma in the  
 604 Spanish Observatorio del Roque de los Muchachos of the  
 605 Instituto de Astrofísica de Canarias, is operated by Liv-  
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## APPENDIX

### A. RELEASE OF THE PHOTOMANIP CODE

The `PhotoManip` tool, used to calculate the reference time for all the light curves and figures in this paper, is made available at <https://github.com/maayane/PhotoManip>.

The reference time is calculated as the epochs at which the extrapolated light curve is reaching zero. `PhotoManip` fits either the  $r$ -band or the  $g$ -band flux during the rise time with an exponential function of the form

$$f = f_{\max} \{1 - \exp[(t_0 - t)/t_c]\}, \quad (\text{A1})$$

and a power-law of the form

$$f = a(t - t_0)^n, \quad (\text{A2})$$

(where  $t_0$  is the time of zero flux,  $f_{\max}$  is the maximum flux,  $t_c$  is the characteristic rise time of the bolometric light curve). The fit uses the MCMC algorithm `emcee` (Foreman-Mackey et al. 2013).

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